

REMARKS

By the above amendment, new dependent claims 26-29 have been added which recite features illustrated in Figs. 25 and 26 of the drawings and described at page 76, for example, of the specification.

Applicants note that the Examiner indicates that the Declaration under 37 CFR 1.131 has been received and entered, but contends that Exhibit 3 which is a copy of Exhibit 1 with an English Translation has not been received by office (only Exhibit 1 and 2 with a Japanese character in the file). The Examiner request applicants to re-submit such Exhibit in order to give the Examiner an opportunity to fully consider applicants' declaration.

Applicants note that as indicated on the attached copy of the postcard receipt, the Declaration and Exhibits 1-3 were submitted on May 29, 2003. Applicants note that Exhibit 3 was the last page of the Declaration and accompanying Exhibits and apparently has either become loss or misplaced in the records of the U.S. Patent and Trademark Office. In any event, submitted herewith is a copy of Exhibit 3 which presents an English language translation of Exhibit 1 and which bears in the lower left-hand corner the date indication of "Nov. 14, 1996" representing the date of submission of Exhibits 1 and 2 to the inventor Ohta's supervisor. Thus, applicants submit that the Declaration under 37 CFR 1.131 and accompanying Exhibits 1-3 are sufficient to establish invention of the subject matter of the claims of this application at least as of the November 14, 1996 which is also the U.S. filing date of Hirakata et al. Accordingly, applicants submit that Hirakata et al is not properly utilizable in rejecting claims of this application under 35 U.S.C. 102 and 35 U.S.C. 103, and the rejections utilizing Hirakata et al alone or in combination with other cited art necessarily fall.

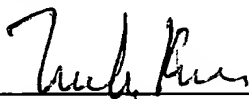
As to the rejection of claims 9 and 12-13 under 35 U.S.C. 102(e) as being anticipated by Hirakata et al, U.S. Patent No. 5,977,562; the rejection of claims 15-

25 under 35 U.S.C. 103(a) as being unpatentable over Hirakata et al, U.S. Patent No. 5,977,562; and the rejection of claims 1, 2, 4, 6, 8 and 10-11 as being unpatentable over Hirakata et al, U.S. Patent No. 5,977,562, in view of Raynes, U.S. Patent No. 4,084,884; such rejections are traversed for the reasons given above that U.S. Patent No. 5,977,562 to Hirakata et al is not properly utilizable in rejecting claims of this application in light of the Declaration under 37 CFR 1.131 and the accompanying Exhibits. Thus, applicants submit that the rejections as set forth necessarily fall, and all claims present in this application should now be in condition for allowance.

In view of the above amendments and remarks, applicants submit that all claims present in this application patentably distinguish over the cited art and should now be in condition for allowance. Accordingly, issuance of an action of a favorable nature is courteously solicited.

To the extent necessary, applicant's petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (501.37242CX2) and please credit any excess fees to such deposit account.

Respectfully submitted,



Melvin Kraus

Registration No. 22,466

ANTONELLI, TERRY, STOUT & KRAUS, LLP

MK/cee
(703) 312-6600

社外秘

Request to file patent application with admittance of assignment

※依頼書は3枚で1組、3枚とも提出

1枚目の全部と3枚目の右半分

1/3

依頼元 Masayuki Ohta	依頼先 Floppy Disc Data for IP Department	Accept date by IP Dept. 96.11.20	出 Request number 339600793
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Title of the Invention: Active matrix type Liquid Crystal Display Device and manufacturing method thereof

発明の一ロゲイシエト: 有源マトリクス型液晶表示装置とその製造方法

Related former application: 3395063

研究開発 テーマ名 TFT-LEDの開発	Sプロ(特許)等の番号又は 特許出願番号	研究の種別	研究依頼元
研究番号 000-1137			
社外者との関係 ① 社内	権利帰属先 ① 社内	特許製品 コード 47772	特許管理 コード PAS
特許管理 コード PAS		PAS以外の特許管理コード P011-1, 4PLCD	

Rapid filing request	Reason for request ① YES	Dead line March, 1997	② Laid open of former application
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When inventor in this company submit this document with stamp as a proof document of assignment of each inventor's right to the company rule 2491 section 6.

事業所	部署	TEL	氏名	氏名	Contribution	Personal stamp
①	(HSE)	2291	691/900061	Masuyuki Ohta	35	
②	(HSE)	2291	691/900062	Kazuhiko Ogawa	5	
③	(HSE)	2291	691/900063	Keiichi Ashizawa	5	
④	(HSE)	2291	691/900064	Kazuhiko Yanagawa	5	
⑤	(HSE)	2291	691/900065	Masahiro Yanai	5	
⑥	(HSE)	2291	691/900066	Nobutake Konishi	5	
⑦	(HSE)	2291	691/900067	Nobuyuki Suzuki	10	
⑧	(HSE)	2291	691/900068	Masahiro Ishii	10	

1. 当社および当社の関連会社の発明者はこの欄に記入、押印して下さい。それ以外の発明者は次紙出願依頼書(2)の所定欄に記入して下さい。
2. 寄与率は当社および当社の関連会社の発明者全員で100%にして下さい。

[Total 100%]
Other 2 person
(3枚目に記入)
Total 10 person

発明の性質により下記<1><2><3>のうち一列を選択して評価	1. 新規性 <1> 現在製品、技術に 関する発明	2. 進歩性 <2> 産業製品、技術 の発明(研究着手済)	3. 先行アイデア発明 <3> 先行アイデア発明
技術的の 最先技術 との比較	① 同等 ② 若干優位 ③ 相当優位 ④ 断然優位	① 同等 ② 若干優位 ③ 相当優位 ④ 断然優位	① 不明 ② 普通 ③ 重要
技術的の 実現性	① 未検討 ② 具体内容検討済 ③ 実用化検討済 ④ 即実行可	① 未検討 ② 基礎実験済 ③ 具体内容実験中 ④ 実証済	① 不明 ② 自明 ③ 検討済
他社にお ける本発 明の困難 の程度	① 容易 ② やや困難 ③ かなり困難 ④ 不可	① 容易 ② やや困難 ③ かなり困難 ④ 不可	① 各種アイデアの一つ ② すぐれたアイデア ③ 基本的アイデア
企業計 画との 関係	① 未定 ② 製品試作予定 ③ 試作中又は済 ④ 採用決定	① 未定 ② 機能試作実施 ③ 製品試作予定 ④ 製品採用予定	① 単独で必然的 ② 他社・他社・他社 ③ 他社・他社・他社 ④ 他社・他社・他社
侵害発見の 容易	① 容易 ② 困難 (確率方法 両方とも)	① 容易 ② 困難 (確率方法 両方とも)	① 容易 ② 困難 (確率方法 両方とも)
依頼元総合評価	① 0.1 ② 0.2 ③ 0.3 ④ 0.4 ⑤ 0.5 ⑥ 0.6 ⑦ 0.7 ⑧ 0.8 ⑨ 0.9 ⑩ 1.0	① 0.1 ② 0.2 ③ 0.3 ④ 0.4 ⑤ 0.5 ⑥ 0.6 ⑦ 0.7 ⑧ 0.8 ⑨ 0.9 ⑩ 1.0	① 0.1 ② 0.2 ③ 0.3 ④ 0.4 ⑤ 0.5 ⑥ 0.6 ⑦ 0.7 ⑧ 0.8 ⑨ 0.9 ⑩ 1.0
外国出願	① しない ② する (国名を記入)	① しない ② する (国名を記入)	① しない ② する (国名を記入)
関係先評価	A B C D E	外国出願 0.1 しない 1. する (国名) 2. 保留	関係先 0.1 しない 1. する (国名) 2. 保留

知本 総合評価 ① 新規性無 ② 侵害発見困難 ③ 配賦不備 ④ その他	1. 併合 2. 国内優先権主張 3. 分割 対 受付又は出願番号を記入 象 番号 号	Effective to increase aperture ratio. Important. PCT application before laid open of former application. (他社特許出願番号) (台通は84) 97/20
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Request Dept. Ohta Nov. 14, 1996	Konishi Nov. 21, 1996	関係先 Ono Nov. 21, 1996	Yanai Nov. 21, 1996	IP Dept. Matsumoto Nov. 25, 1996	依頼元 Masayuki Ohta
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P.A. 4-1 知的所有権本部 1995.4

EXHIBIT 3